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**FACSIMILE COVER SHEET**

**OFFICIAL**

**Examiner:** Not Assigned

**Group:** 1655

**Date:** May 22, 2001

**Client Code:** 2875

**Facsimile No.:** 703 308-4556

**From:** Sandra A. Brockman, Ph.D.

**Subject:** PRELIMINARY AMENDMENT

**Docket No.:** 2875.1001-007

**Applicants:** C. Thomas Caskey *et al.*

**Serial No.:** 09/711,476

**Filing Date:** November 13, 2000

**FAX RECEIVED**

**MAY 23 2001**

**GROUP 1600**

**Number of pages including this cover sheet:** 11

**Please confirm receipt of facsimile:** Yes ☒ No ☐

**Comments:**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: C. Thomas Caskey, John M. Shumaker and Andres Metspalu  
Application No.: 09/711,476 Group: 1655  
Filed: November 13, 2000 Examiner: Not Assigned  
For: PARALLEL PRIMER EXTENSION APPROACH TO NUCLEIC ACID  
SEQUENCE ANALYSIS

|  |                         |
|--|-------------------------|
| CERTIFICATE OF FACSIMILE TRANSMISSION  |                         |
| I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office: |                         |
| on <u>5-22-01</u>  | <u>Hollie Wakefield</u> |
| Date   | Signature               |
| <u>HOLLIE WAKEFIELD</u>  |                         |
| Typed or printed name of person signing certificate  |                         |

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is Preliminary Amendment for filing in the above-identified application.

- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.
- [ ] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

| (COL. 1)  | (COL. 2)                         | (COL. 3) | (COL. 4)                       | (COL. 5)      |
|---|----------------------------------|----------|--------------------------------|---------------|
|   | CLAIMS REMAINING AFTER AMENDMENT |          | HIGHEST NO PREVIOUSLY PAID FOR | PRESENT EXTRA |
| TOTAL   | 32                               | MINUS    | * 26                           | 6             |
| INDEP   | 3                                | MINUS    | ** 7                           | 0             |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP CLAIM |                                  |          |                                |               |

\* not fewer than 20  
\*\* not fewer than 3

| SMALL ENTITY |           |
|--------------|-----------|
| RATE         | ADDIT FEE |
| X \$9        | \$ 0      |
| X \$40       | \$ 0      |
| + \$135      | \$ 0      |

TOTAL = \$ 0

| OTHER THAN SMALL ENTITY |           |
|-------------------------|-----------|
| RATE                    | ADDIT FEE |
| X \$18                  | \$ 108    |
| X \$80                  | \$        |
| + \$270                 | \$        |

TOTAL = \$ 108

-2-

Please charge Deposit Account No. 08-0380 for the following fees:

|                                     |  |    |     |
|-------------------------------------|--|----|-----|
| <input type="checkbox"/>            | Petition for [ ] month Extension of Time | \$ |     |
| <input checked="" type="checkbox"/> | Amendment Fee                            | \$ | 108 |
| <input type="checkbox"/>            | Other Fees:                              | \$ |     |
|                                     |  | \$ |     |
|                                     |  | \$ |     |
|                                     | TOTAL:                                   | \$ | 108 |

A check is enclosed in payment of the following fees:

|                          |  |    |   |
|--------------------------|--|----|---|
| <input type="checkbox"/> | Petition for [ ] month Extension of Time | \$ |   |
| <input type="checkbox"/> | Amendment Fee                            | \$ |   |
| <input type="checkbox"/> | Other Fees:                              | \$ |   |
|                          |  | \$ |   |
|                          |  | \$ |   |
|                          | TOTAL:                                   | \$ | 0 |

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Sandra A. Brockman

Sandra A. Brockman

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Lexington, Massachusetts 02421-4799

Dated: May 22, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*PreAmend*  
*2A*  
*MW*

Applicants: C. Thomas Caskey, John M. Shumaker and Andres Metspalu  
Application No.: 09/711,476 Group: 1655  
Filed: November 13, 2000 Examiner: Not Assigned  
For: PARALLEL PRIMER EXTENSION APPROACH TO NUCLEIC  
ACID SEQUENCE ANALYSIS

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| Date   | Signature               |
| <u>HOLLIE WAKEFIELD</u>  |                         |
| Typed or printed name of person signing certificate  |                         |

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to an examination on the merits, please amend the claims as indicated below.

In the Claims

- ✓ Please cancel Claims 1-21.
- Please add new Claims 22-53.